Scattering in QFT without Mass Gaps and Strengthened Reeh-Schlieder Condition (based on CMP **375**, 2017)

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**Exercise 1** Quantum Mechanics:

(a) Find  $\mathcal{H}$ , Hamiltonian  $H_0$  and Observables for free particles

(b) Born probability interpretation  $|\Psi(x)|^2$ 

(c) Add interaction  $H := H_0 + H_{int}$ 

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- (b) Interpretation of  $(\phi_0, \mathscr{H}_0, H_0)$  in terms of free particles
- (c)  $\phi_0$  implements Einstein-Causality quantum mechanically

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(h)  $\omega$  defines new Hilbert space  $\mathscr{H}$  on which interact. model lives (change of rep.), and where  $H = \lim_{R \to \infty} H^R$  is well-defined.

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**Vacuum**  $\Omega \in \mathscr{H}$  translation invariant, Space-time translations  $\alpha_x$  unitarily implemented

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SNAG-Theorem  $\rightarrow$  strongly commut. self-adjoint generators  $(H, \mathbf{P})$  $\triangleq$  energy-momentum op.

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- Buchholz '77 no (SC) nor other conditions needed for m = 0 in even-dimensional space-time
- Dybalski '05 (SC) + non-isolated vacuum
- ▶ Duch, Herdegen '13 (SC) weakened,  $m \ge 0$



#### Remarks: Other Aspects of the Infrared Problem Charges, Particles and Infraparticles in AQFT

Our present assumptions restrict us to **neutral**-particle states  $\Psi_1$ .

(Electrical) Charges are expected to have

non-sharp particle masses ("Infraparticle")

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- weaker localization properties: [Buchholz'82] operators in space-like infinite "strings" ~ Wilson-lines

# Remarks: Other Aspects of the Infrared Problem

#### **Current Research and Tentative Approaches**

- Scattering of Infraparticles? [Buchholz et al.'91–] [Herdegen'13]
- Space-like asymptotics of F<sup>μν</sup> experimentally not accessible, suitable Infravacuum-states conjectured to "stabilize" infraparticles [Kraus, Polley, Reents'77] [Buchholz, Roberts'13]
  - $\rightarrow$  Feasible to describe Compton-scattering [Alazzawi, Dybalski'15]
- Perturbation Theory with String-local Quantum Fields [Schroer et al.'04–] [Mund, de Oliveira'16]
- Study infrared problem in more tractable non-relativistic models [Fröhlich'73] [Chen, Fröhlich, Pizzo'07]...[Dybalski, Pizzo'12–]

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Rem.: 
$$(\mathsf{HK6}^{\flat}) + \text{``Additivity''} \ \mathfrak{A} \subset \left(\bigvee_{x} \mathfrak{A}(\mathcal{O}_{0} + x)\right)'' \Longrightarrow (\mathsf{HK6})$$

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#### Overview

#### Introduction

Preparation of Single-Particle States Haag-Ruelle Theorem without Spectral Conditions

#### Construction of Scattering States

Creation Operator Approximants Discretized Cook's method Non-equal time commutators

**Discussion and Applications** 

#### Outlook

#### Algebraic Framework for Local Quantum Theory Mathematical Objects

**Haag-Kastler QFT**  $(\mathfrak{A}, \alpha, \Omega, \mathscr{H})$  in the vacuum sector.

Described by mathematical entities...

- ▶ Hilbert space *ℋ* of pure states
- distinguished vacuum  $\Omega \in \mathscr{H}$
- ▶ net of von Neumann algebras  $\mathbb{R}^{3+1} \supset \mathcal{O} \mapsto \mathfrak{A}(\mathcal{O}) \subset \mathrm{B}(\mathscr{H})$
- ▶ space-time translations of states  $(t, \mathbf{x}) \mapsto U(t, \mathbf{x}) = e^{\mathrm{i}tH \mathrm{i}\mathbf{x}\cdot \mathbf{P}}$
- ▶ translations of observables a<sub>x</sub>A := A(x) := U(x) A U(x)\*

#### Algebraic Framework for Local Quantum Theory The Haag-Kastler Axioms

... which are subject to

 $\begin{array}{ll} (\mathsf{HK1}) & \mathcal{O}_1 \subset \mathcal{O}_2 \Longrightarrow \mathfrak{A}(\mathcal{O}_1) \subset \mathfrak{A}(\mathcal{O}_2) & (\mathsf{Isotony}) \\ (\mathsf{HK2}) & \mathcal{O}_1 \subset \mathcal{O}_2' \Longrightarrow \mathfrak{A}(\mathcal{O}_1) \subset \mathfrak{A}(\mathcal{O}_2)' & (\mathsf{Locality}) \\ (\mathsf{HK3}) & \alpha_x \mathfrak{A}(\mathcal{O}) = \mathfrak{A}(\mathcal{O} + x), \ \forall x \in \mathbb{R}^4 & (\mathsf{Covariance}) \\ (\mathsf{HK4}) & E_{(H,P)}(\{0\}) \mathscr{H} = \mathbb{C}\Omega & (\mathsf{Uniqueness of } \Omega) \\ (\mathsf{HK5}) & \operatorname{supp} E_{(H,P)} \subset \bar{V}^+ & (\mathsf{Spectrum Condition}) \\ (\mathsf{HK6}) & \overline{\mathfrak{A}(\mathcal{O})\Omega} = \mathscr{H} & (\mathsf{Reeh-Schlieder Property}) \end{array}$ 

### Preparing Single-Particle States

Single-particle states  $\Psi_1, \Psi_2 \in \textit{E}_{\{\textit{M}=\textit{m}\}}\mathscr{H}$  are non-local objects:

$$\Psi_1 = E_m A \Omega = \chi(\frac{M^2 - m^2}{\epsilon}) A \Omega \sim A(\hat{\chi}_{\epsilon}) \Omega, \quad (\chi \in \mathscr{S}, \epsilon \searrow 0).$$

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Instead now fix **one** bounded space-time region  $\mathcal{O} \subset \mathbb{R}^4$ . Reeh-Schlieder (HK6)  $\Rightarrow \exists (A_{k\beta})_{\beta>0} \subset \mathfrak{A}(\mathcal{O}): ||A_{k\beta}\Omega - \Psi_k|| = \beta$ .

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Def.: We call a family of local operators  $(A_{k\beta})_{\beta>0} \subset \mathfrak{A}(\mathcal{O})$  s.t.

$$\|A_{k\beta}\Omega - \Psi_k\| \leq \beta$$
 and  $\|A_{k\beta}\| \leq \beta^{-\gamma}$ 

a Reeh-Schlieder family for  $\Psi_k$  of degree  $\gamma > 0$ .

#### Assumption: **Strengthened Reeh-Schlieder Property** (HK6<sup>‡</sup>)

Reeh-Schlieder families of finite degree generate a total subset of the single-particle space  $\mathscr{H}_1 \subset \mathscr{H}$ .

## Strengthened Reeh-Schlieder yields Scattering States

**Strengthened Reeh-Schlieder Property**  $(\gamma > 0)$  $(A_{k\beta})_{\beta>0} \subset \mathfrak{A}(\mathcal{O})$ , s.t.  $||A_{k\beta}\Omega - \Psi_k|| \leq \beta$  and  $||A_{k\beta}|| \leq \beta^{-\gamma}$ 

Theorem (MD'15) Let  $\Psi_k$  be single-particle states admitting Reeh-Schlieder families  $A_{k\beta}$  of finite degree. Then for any regular positive-energy Klein-Gordon sol.  $f_k$  with disjoint velocity supports

$$\Psi_{\tau} := \mathcal{B}_{1\tau} \dots \mathcal{B}_{n\tau} \Omega \stackrel{\tau \to \pm \infty}{\longrightarrow} \Psi^{\pm}.$$

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**Previous results** (Herbst '71, Dybalski '05, Herdegen '13) require spectral condition of Herbst-type, e.g. for some  $\epsilon > 0$ ,

$$\Psi_k = E_{\{M=m\}}A_k\Omega, \quad A_k \in \mathfrak{A}(\mathcal{O}), \quad \left\|E_{\{0 < |M-m| < \delta\}}A_k\Omega\right\| \le \delta^{\epsilon}.$$
Construction of Scattering States

## Reeh-Schlieder and Haag-Ruelle Creation Operators

**Reference Dynamics:** Klein-Gordon solutions  $f_k$  with disjointly and compactly supported wave packets  $\tilde{f}_k \in \mathscr{C}^{\infty}_c(\mathbb{R}^3)$  ("regular")

Creation-Operator Approximants: with  $\hat{\chi} \in \mathscr{C}^{\infty}_{c}(\mathbb{R}^{4} \setminus \overline{V}^{-})$ , set  $B_{k\beta} := A_{k\beta}(\chi) := \int d^{4}x \ \chi(x) \ A_{k\beta}(x),$ 

$$\mathcal{B}_{k au} := \int \mathrm{d}^3\!x \; f_k( au, \mathbf{x}) \; B_{keta}( au, \mathbf{x}), \quad ( au \in \mathbb{R}).$$

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$${\mathcal B}_{k au}:=\int {\mathrm d}^3\!x\; f_k( au,{f x})\; {\mathcal B}_{keta}( au,{f x}), \quad ( au\in{\mathbb R}).$$

**Haag-Ruelle/LSZ**:  $\mathcal{B}_{k\tau}\Omega \rightarrow \Psi'_k(f_k) := \tilde{f}_k(\mathbf{P})\Psi'_k \in \mathscr{H}_1$  for fixed small enough  $\beta$ .

Reeh-Schlieder: 
$$\beta = \beta(\tau) := |\tau|^{-\mu}, \ \mu > 0$$
 then  $\mathcal{B}_{k\tau}\Omega \to \Psi_k(f_k)$ .

**Candidate Scattering States**: Limits  $\tau \rightarrow \pm \infty$  of  $\Psi_{\tau} := \mathcal{B}_{1\tau} \mathcal{B}_{2\tau} \Omega$ .

Mathematical Tools (1) — Discretized Cook's method  $^{\rm 13/18}$ 

$$\|\Psi_{\tau_2} - \Psi_{\tau_1}\| = \left\|\int_{\tau_1}^{\tau_2} \mathrm{d}\tau \, \partial_\tau \Psi_\tau\right\| \le \int_{\tau_1}^{\tau_2} \mathrm{d}\tau \, \left\|\partial_\tau \Psi_\tau\right\| \stackrel{!}{<} \infty \quad (\tau_2 \to \pm \infty)$$

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$$\frac{\|\Psi_{\tau_2} - \Psi_{\tau_1}\|}{\|\Psi_{\tau_2} - \Psi_{\tau_1}\|} \leq \int_{\tau_1}^{\tau_2} \mathrm{d}\tau \, \|\partial_{\tau}\Psi_{\tau}\| \leq \infty \quad (\tau_2 \to \pm \infty)$$

$$\|\Psi_{\tau_{N}} - \Psi_{\tau_{1}}\| \leq \sum_{k} \left\|\mathcal{B}_{1\tau_{k+1}}\mathcal{B}_{2\tau_{k+1}}\Omega - \mathcal{B}_{1\tau_{k}}\mathcal{B}_{2\tau_{k}}\Omega\right\| \stackrel{!}{<} \infty \quad (\tau_{N} \to \pm \infty)$$

Mathematical Tools (1) — Discretized Cook's method  $^{13/18}$ 

$$\|\Psi_{\tau_2} - \Psi_{\tau_1}\| = \left\|\int_{\tau_1}^{\tau_2} \mathrm{d}\tau \,\partial_\tau \Psi_\tau\right\| \le \int_{\tau_1}^{\tau_2} \mathrm{d}\tau \,\left\|\partial_\tau \Psi_\tau\right\| \stackrel{!}{<} \infty \quad (\tau_2 \to \pm \infty)$$

$$\begin{split} \|\Psi_{\tau_{N}} - \Psi_{\tau_{1}}\| &\leq \sum_{k} \left\|\mathcal{B}_{1\tau_{k+1}}\mathcal{B}_{2\tau_{k+1}}\Omega - \mathcal{B}_{1\tau_{k}}\mathcal{B}_{2\tau_{k}}\Omega\right\| \stackrel{!}{<} \infty \quad (\tau_{N} \to \pm \infty) \\ \|\Psi_{\tau_{2}} - \Psi_{\tau_{1}}\| &\leq \|\mathcal{B}_{1\tau_{2}}(\mathcal{B}_{2\tau_{2}} - \mathcal{B}_{2\tau_{1}})\Omega\| + \|(\mathcal{B}_{1\tau_{2}} - \mathcal{B}_{1\tau_{1}})\mathcal{B}_{2\tau_{1}}\Omega\| \\ &\leq \|\mathcal{B}_{1\tau_{2}}(\mathcal{B}_{2\tau_{2}} - \mathcal{B}_{2\tau_{1}})\Omega\| + \|\mathcal{B}_{2\tau_{1}}(\mathcal{B}_{1\tau_{2}} - \mathcal{B}_{1\tau_{1}})\Omega\| \quad (\star) \\ &+ (\text{commutators}) \qquad (\star\star) \end{split}$$

Recall:  $\mathcal{B}_{j\tau}\Omega \to \Psi_j \in \mathscr{H}_1$  (by construction)

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Recall:  $\mathcal{B}_{j\tau}\Omega \to \Psi_j \in \mathscr{H}_1$  (by construction)

For best possible summability as  $N \to \infty$  we should

- choose  $(\tau_k)_{k\in\mathbb{N}}$  as sparse as possible,  $\tau_k := (1+\rho)^k \tau_0$ ,  $\rho > 0$
- ▶ control equal- and non-equal-time commutators in (★★)
- control estimation of unbounded leftmost  $\mathcal{B}_{j\tau_k}$  in  $(\star)$

 $f_k(t, \mathbf{x}) = \int \mathrm{d}^3 k \, \mathrm{e}^{\mathrm{i} \mathbf{k} \cdot \mathbf{x} - \mathrm{i} \omega_m(\mathbf{k}) t} \, \tilde{f}_k(\mathbf{k}), \ \tilde{f}_k \in \mathscr{C}^\infty_c(\mathbb{R}^s), \ \omega_m(\mathbf{k}) := \sqrt{\mathbf{k}^2 + m^2}$ 

$$f_{k}(t, \mathbf{x}) = \int d^{3}k \, e^{i\mathbf{k}\cdot\mathbf{x}-i\omega_{m}(\mathbf{k})t} \tilde{f}_{k}(\mathbf{k}), \quad \tilde{f}_{k} \in \mathscr{C}_{c}^{\infty}(\mathbb{R}^{s}), \quad \omega_{m}(\mathbf{k}) := \sqrt{\mathbf{k}^{2}+m^{2}}$$

$$\bullet \text{ velocity } \mathbf{v}(\mathbf{k}) = \frac{\mathbf{k}}{\omega_{m}(\mathbf{k})}$$

$$\bullet \text{ velocity support}$$

$$\Gamma_{f} := \mathbf{v}(\text{supp } \tilde{f})$$

$$\Gamma_{1}^{\mid \mid \mid} \Gamma_{2}$$

$$\longrightarrow \mathbf{x}$$

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$$\Gamma_{1}$$

$$\begin{aligned} f_{k}(t,\mathbf{x}) &= \int \mathrm{d}^{3}k \, \mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{x}-\mathrm{i}\omega_{m}(\mathbf{k})t} \, \tilde{f}_{k}(\mathbf{k}), \quad \tilde{f}_{k} \in \mathscr{C}_{c}^{\infty}(\mathbb{R}^{s}), \quad \omega_{m}(\mathbf{k}) := \sqrt{\mathbf{k}^{2}+m^{2}} \\ & \quad \text{velocity } \mathsf{velocity } \mathsf{support} \\ & \quad \mathsf{F}_{f} := \mathbf{v}(\mathsf{supp } \tilde{f}) \\ & \quad \mathsf{propagation } \mathsf{region} \\ & \quad \Upsilon_{f} := \{(t,\mathbf{v}t), \, \mathbf{v} \in \mathbf{\Gamma}_{f}, \, t \in \mathbb{R}\} \\ & \quad \mathsf{creation } \mathsf{operators} \\ & \quad \mathcal{A}_{k\tau} = \int \mathrm{d}^{3}x \, f_{k}(\tau,\mathbf{x}) \, A_{k\beta}(\tau,\mathbf{x}), \end{aligned}$$

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$$\mathcal{O}_{A_{k\beta}}$$

Lemma: Let  $f_k$  be regular s.t.  $\Gamma_1 \cap \Gamma_2 = \emptyset$  and  $A_{k\beta}$  have finite degree.

 $\exists \rho > 0 \ \forall \ |\tau_1 - \tau_2| \le \rho \, |\tau_1| : \quad \|[\mathcal{B}_{1\tau_1}, \mathcal{B}_{2\tau_2}]\| \le \frac{C_N \, \|\mathcal{A}_{1\beta(\tau_1)}\| \, \|\mathcal{A}_{2\beta(\tau_2)}\|}{1 + |\tau_1|^N + |\tau_2|^N}$ 

### Assembling the Mathematical Arsenal

The reason why Discrete Cook works may be summarized:

Lemma (local difference estimate) Let  $A_{k\beta}$  be RS families of finite degree, and  $f_k$  regular positive-energy Klein-Gordon solutions with disjoint velocity supports. Then for sufficiently small scaling  $\mu > 0$ ,  $\exists \rho > 0 \forall |\tau_1 - \tau_2| \le \rho |\tau_1|$ ,

$$\|\Psi_{\tau_{2}} - \Psi_{\tau_{1}}\|^{2} \leq C_{1} \sum_{k=1}^{n} \|\mathcal{B}_{k\tau_{2}}\Omega - \mathcal{B}_{k\tau_{1}}\Omega\|^{2} + C_{2} |\tau_{1}|^{-\delta}$$

**Proof** based on **non-equal-time** commutator estimates, **energy-bounds** [Buchholz'90], and **Clustering** arguments from [Dybalski'05], [Buchholz'77], and [Araki, Hepp, Ruelle'62]. Is it useful?

#### Wave Operators and S-Matrix

Let  $\mathscr{F}$  denote Fock space over finite RS-degree 1-particle vectors and  $\mathscr{F}_{disj} \subset \mathscr{F}$  the set of product states with disjoint  $\Gamma_k$ .

Def. (Møller op.) For  $\Psi_{\text{prod}} = \Psi_1(f_1)\Omega \otimes \ldots \otimes \Psi_n(f_n)\Omega \in \mathscr{F}_{\text{disj}}$ ,  $\Psi_k = \lim_{\beta \to 0} \tilde{f}_k(\mathbf{P})A_{k\beta}\Omega$  define

$$W_{\pm}: \begin{cases} \mathscr{F}_{\mathsf{disj}} \longrightarrow \mathscr{H}, \\ \Psi_{\mathsf{prod}} \longmapsto \lim_{\tau \to \pm \infty} \mathcal{B}_{1\tau} \dots \mathcal{B}_{n\tau} \Omega. \end{cases}$$

The S-matrix is defined for  $\Psi, \Phi \in \mathscr{F}_{\mathsf{disj}}$  by  $\langle \Psi, S\Phi \rangle := \langle W_+ \Psi, W_- \Phi \rangle \,.$ 

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- Proposition. Assume there is a regular local A ∈ 𝔅(𝔅) with Herbst-exponent ε>0. Then one can construct A<sub>β</sub>∈𝔅(𝔅+B<sub>ε</sub>) s.t.

$$\|E(\Delta)(A_{\beta}\Omega - \Psi_1)\| < C_{\Delta}\beta, \quad \ln \|A_{\beta}\| < \beta^{-\gamma}$$

for any compact  $\Delta \subset \mathbb{R}^{s+1}$ , with suitable  $C_{\Delta}$ , and  $\gamma \sim 1/\epsilon$ .

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  - Commutator Estimates
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- ▶ Relaxation of Localization Assumption  $(A_\beta) \subset \mathfrak{A}(\mathcal{O})$ 
  - $\mathcal{O} \rightarrow \mathcal{O}_{R(\beta)}$  e.g. with polynomially growing radii
  - $\mathcal{O} \to \mathcal{W} \xrightarrow{\mathcal{O}}$  unbounded wedge regions  $\mathcal{W}$  appear in context of
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#### Thanks for your attention!